Agenda Governmental Ethics Commission 901 S. Kansas Ave. Topeka, Kansas January 25, 2023 1:00 p.m.

1:00 p.m. Call Meeting to Order

Review and approve today's meeting agenda and the November 16, 2022, meeting minutes

Executive Director's Report

Civil Penalties

Candidates & Treasurers

George A. Briggs, Treasurer and Candidate
For Governor
Nelson Gabriel, Candidate
For State Representative
Heather Kinsler,
Candidate for Kingman County Commissioner
Jordan Metcalf, Candidate for State
Representative
Marvin Robinson II, Treasurer and Candidate
For State Representative
Sam Stillwell, Candidate
For State Representative
Mark Tallent, Treasurer and Candidate
For State Candidate
Joshua White, Candidate
For Neosho County Commissioner

PACS & Parties

Bernice Albers, Treasurer	Bobbi Jo Boxum, Treasurer
Chase County Republican Central Committee	Osborne County Republican Central Committee
Sarah Chenoweth, Treasurer	Stephen Cook, Treasurer
Progressive Democrats of the 13 th Senate	Elk County Republican Central Committee
District	
Saul Delgado Anaya, Treasurer	Holly Denton, Treasurer
Seward County Democratic Central Committee	Morton County Democratic Central Committee
Mary Ann Dvoracheck, Treasurer	Kenneth Estes, Treasurer
Allen County Democratic Central Committee	Kearny County Republican Central Committee
Haley Helzer, Treasurer	Kim Hullman, Treasurer
Saline County Democratic Central Committee	Stafford County Republican Central Committee
Max Knopp, Treasurer	Karla Lisle, Chair and Treasurer
Integrity in Kansas House	Kansans for Conservative Values

Jerry Lucas, Treasurer	Hana North, Treasurer
Kansas 4 th Congressional District Democratic	Saline County Young Democrats
Party Committee	
Justin Shore, Treasurer	HJ Swender, Treasurer
For the Progressive Caucus of the Kansas	For the Right Way Kansas PAC for Economic
Democratic Party	Growth
Tyler Ternes, Treasurer	Tab Turner, Treasurer
For the Kansas Radiological Society Political	For Heather Kinsler
Action Committee	
Joshua White, Candidate	Thomas Witt, Treasurer
For Neosho County Commissioner	For the Equality Kansas PAC

Lobbyists

Ernestor De La Rosa,	Dan Meyerhoff,
City of Dodge City	Kansas Association of Conservation
Bridgette Williams,	
Heavy Constructors Association of Greater KC	

Paid-for Waiver Requests

- Callie Jill Denton, Treasurer, KTLA Consumer/Civil Justice PAC
- Chris Mann, Candidate, Attorney General

Civil Penalty Waiver Requests

- Justin Choitz, Treasurer, FirePAC 2119
- Kenny Estes, Treasurer, Kearny County Republican Central Committee
- Haley Helzer, Treasurer, Saline County Democratic Central Committee
- Hana North, Past Treasurer, Saline County Young Democrats
- Mark Tallent, Treasurer and Candidate, State Representative
- Steve Watson, Treasurer, Plumbers & Pipefitters Local 441 PAC

1:30 p.m. Public Hearings

- Complaint 739, George A. Briggs, Treasurer and Candidate for Governor
- Complaint 740, Max Stucky Halley, Candidate for State Representative
- Complaint 741, Mark Tallent, Treasurer and Candidate for State Representative

Executive Session

Date of next meeting: February 22, 2023

3:00 p.m. Adjournment

Minutes Governmental Ethics Commission 901 S. Kansas Ave. Topeka KS 66612 November 16, 2022 1:00 p.m.

<u>Members Present</u> Jerome Hellmer, Vice Chair Jane Deterding - by Videoconference Patty Dengler Amy James - by Videoconference Kyle Krull John Solbach, acting Chair

Staff Present

Mark Skoglund, Executive Director Brett Berry, General Counsel Scott Smith, Investigator Payge Quintana, PAC Party Coordinator Barbara Montgomery, Office Manager

The regular monthly meeting of the Governmental Ethics Commission was called to order at 1:00 p.m. by Acting Chair Solbach.

<u>Minutes</u>

After review of today's agenda and the October 26, 2022, meeting minutes, Acting Chair Solbach asked for any corrections needed to the agenda or minutes, or a motion to approve the agenda and minutes as written.

Mr. Solbach pointed out a correction needed to the October 26, 2022, meeting minutes to clarify and include what the corrected language was to the September 28, 2022, meeting minutes in the motion to include the following language, "the motion died for lack of second," at the end of paragraph four under "Settlement Discussion of Complaint 715 & Complaint 716 continued." Ms. Deterding made a motion to approve today's agenda and the October 26, 2022, meeting minutes after the correction was made. Mr. Krull seconded the motion. There was no discussion and the motion passed unanimously.

Executive Director's Report

2022 Annual Report / 2023 Legislative Recommendations

Mr. Skoglund stated that the annual report summarizes the activity of the Commission in calendar year 2022 and includes the Legislative Recommendations for 2023.

Ms. Dengler moved to adopt the 2022 Annual Report that was presented by Mr. Skoglund. Mr. Krull seconded the motion. There was no discussion. The motion passed unanimously.

Civil Penalty Assessment Orders

Commissioners reviewed the civil penalty assessments for the late filing for the following:

October 31, 2022, Receipts & Expenditures Report	
Leah Barnhard, Treasurer	
For Women for Kansas Action	\$150
Kiel Corkran, Candidate and Treasurer	
For Insurance Commissioner	\$100
Richard Ramos, Treasurer	
For Firefighters Club 83	\$200
Greg Schoofs, Candidate	
For State Representative	\$150
HJ Swender, Treasurer	
For the Right Way Kansas PAC for Economic Growth	\$150
Steve Watson, Treasurer	
Plumbers & Pipefitters Local 441 PAC	\$150

Paid for by Attribution waiver requests

Mr. Skoglund presented the letter from Chris Mann, Candidate for Attorney General regarding a text message that was sent out that did not have the paid for attribution on it.

Mr. Skoglund presented the letter from Audra McMahon, Candidate for Johnson County Commissioner District 1, which had a number of paid for attribution issues including a Facebook page, walk cards as well as their website however has fixed all of the issues.

Mr. Skoglund presented the letter from Emily Inskeep, NRA-PVF regarding text messages that were sent out that had express advocacy that did not have the paid for attribution however fixed it when they became aware of the issue.

Mr. Skoglund presented the letter from Kimberly Temple Schrant, Candidate for Harper County Commissioner District 1 explaining that a letter was sent out that did not have the paid for attribution verbiage on it.

Mr. Skoglund said that past practice has been to waive first time offenses and recommended to waive the violation for all of the waiver requests. Discussion ensued.

Ms. Deterding moved to approve all of the waiver requests except for the waiver request from Mr. Mann and requested that he provide more information in support of his waiver request. Mr. Krull seconded the motion. Discussion ensued. The motion passed unanimously.

Waiver requests for Civil Penalty Assessment

Mr. Skoglund presented a letter from Terrell Davis, Lobbyist, Wichita Public Schools USD 259 requesting a waiver of the civil penalty for the late filing of the September 10, 2022, Lobbyist Employment & Expenditures Report. Mr. Skoglund had no recommendations. Ms. Deterding made a motion to waive \$450 of the \$550 assessed penalty if paid in 30 days. Ms. Dengler seconded the motion. There was no additional discussion. The motion passed unanimously.

Mr. Skoglund presented a letter from Katrina Abraham, Lobbyist, Cerner Corporation requesting a waiver of the civil penalty for the late filing of the September 10, 2022, Lobbyist Employment & Expenditures Report. Mr. Skoglund recommended approving the waiver. Mr. Krull made a motion

to approve the waiver request. Ms. Dengler seconded the motion. There was no additional discussion. The motion passed unanimously.

Mr. Skoglund presented a letter from Leah Barnhard, Treasurer for the Women for Kansas Action requesting a waiver of the civil penalty for the late filing of the October 31, 2022, Receipts and Expenditures Report. Mr. Skoglund said the waiver falls within the normal categories we recommend waivers for therefore recommended the waiver. Ms. Dengler made a motion to approve the waiver request. Mr. Krull seconded the motion. Ms. Deterding offered a friendly amendment to the motion to waive \$100 of the \$150 assessed penalty if paid in 30 days. Discussion ensued. The amended motion passed unanimously.

Mr. Skoglund presented a letter from John "Jeff" Carson, Treasurer for the Kansas City Regional Assn of Realtors-Kansas requesting a waiver of the civil penalty for the late filing of the July 25, 2022, Receipts and Expenditures Report. Mr. Skoglund had no recommendations. Ms. Deterding made a motion to waive \$750 of the \$1,000 assessed penalty if paid in 30 days. Mr. Krull seconded the motion. There was no additional discussion. The motion passed unanimously.

Mr. Skoglund presented a letter from Justin Choitz, Treasurer for the Fire PAC 2119 requesting a waiver of the civil penalty for the late filing of the July 25, 2022, Receipts and Expenditures Report. Mr. Skoglund recommended to take no action and postpone the waiver request until January to provide Mr. Choitz an opportunity to appear at the meeting. There was no discussion.

Mr. Skoglund presented a letter from Damien Gilbert, Lobbyist, Gencur Svaty Public Affairs requesting a waiver of the civil penalty for the late filing of the September 10, 2022, Lobbyist Employment & Expenditures Report. Mr. Skoglund recommended approving the waiver. Ms. Dengler made a motion to approve the waiver request. Mr. Hellmer seconded the motion. Ms. Deterding offered a friendly amendment to the motion to waive \$950 of the \$1,000 assessed penalty if paid in 30 days. Discussion ensued. The amended motion passed unanimously.

Mr. Skoglund presented letters from Elton Hale Jr, Treasurer for the Kansas Black Republican Council and the Wyandotte Black Republican Council requesting a waiver of the civil penalties for the late filing of the July 25, 2022, Receipts and Expenditures Reports. Mr. Skoglund recommended approving both of the waivers. Mr. Krull made a motion to approve both of the waiver requests. Ms. Dengler seconded the motion. There was no additional discussion. The motion passed unanimously.

Mr. Skoglund presented a letter from George Hanna, Lobbyist, Kansas Norml, Inc. requesting a waiver of the civil penalty for the late filing of the September 10, 2022, Lobbyist Employment & Expenditures Report. Mr. Skoglund said the waiver falls within the normal categories we recommend waivers for therefore recommended the waiver. Ms. Deterding made a motion to

waive \$700 of the \$750 assessed penalty if paid in 30 days. Ms. James seconded the motion. There was no additional discussion. The motion passed unanimously.

Mr. Skoglund presented a letter from Kevin Makalous, Candidate and Treasurer for the Shawnee City Councilmember Ward 4 requesting a waiver of the civil penalty for the late filing of the January 10, 2022, Receipts and Expenditures Report. Mr. Skoglund had no recommendations. There was no additional discussion, and no motion was made.

Mr. Skoglund presented a letter from Ryan Reza, Lobbyist, NAMI Kansas requesting a waiver of the civil penalty for the late filing of the September 10, 2022, Lobbyist Employment & Expenditures Report. Mr. Skoglund recommended approving the waiver. Mr. Krull made a motion to approve the waiver request. Ms. Dengler seconded the motion. There was no additional discussion. The motion passed with Ms. Deterding voting no.

Mr. Skoglund presented a letter from Daniel Shafton, Lobbyist, Kansas Norml Inc. requesting a waiver of the civil penalty for the late filing of the September 10, 2022, Lobbyist Employment & Expenditures Report. Mr. Skoglund recommended approving the waiver. Ms. James made a motion to waive \$950 of the \$1,000 assessed penalty if paid in 30 days. Ms. Deterding seconded the motion. There was no additional discussion. The motion passed unanimously.

Public Hearings

CF 2022-01, Matt Watkins and CF 2022-02, Tobias Schlingensiepen

The Public Hearing on CF 2022-01, Matt Watkins and CF 2022-02, Tobias Schlingensiepen, Mark Skoglund vs. Respondent 's Matt Watkins and Tobias Schlingensiepen was called to order at 1:41 p.m. by Mr. Solbach, appointed as Hearing Officer for this matter. The hearing was recorded. Hearing Officer Solbach said that normally the hearing procedures would be presented at this time however as there is a consent decree, he would forego instruction. Hearing officer Solbach asked both counsels to present appearances. Mr. Berry stated he, General Counsel for the Kansas Governmental Ethics Commission was appearing on behalf of Complainant Mark Skoglund, who appears in person. Counsel for the Respondent, Pedro L. Irigonegaray and Bo Turney, stated appearance, Respondent Tobias Schlingensiepen, appears in person and with counsel. Counsel for the Respondent, Todd Thompson and Adam Hall, stated appearance, Respondent Matt Watkins, appears in person and with counsel.

Hearing Officer Solbach asked if he needed to put people under oath.

Mr. Berry stated that since this is a fine hearing, the statute only requires that the Respondents have an opportunity to appear and be heard and didn't view it as an evidentiary hearing however if there's any objection to proceeding in a more summary fashion, we can go through the formal Hearing process.

Hearing Officer Solbach asked if any counsel present wanted to have any of the witnesses sworn in. They said no, there was no need to have anyone sworn in.

Hearing Officer Solbach asked the Counselor to present his case.

Mr. Berry deferred to Mr. Skoglund to present the resuscitation of the stipulation and the preliminary matters.

Mr. Skoglund presented a detailed overview of the stipulations that was signed by all parties.

Mr. Berry noted the only thing he would add was that the misreporting issues came out of a voluntary audit process with the Kansas Democratic Party.

Hearing Officer Solbach asked if the Commission had any questions at this point.

Hearing Officer Solbach asked if the Counsel appearing on behalf of the Respondents had an opening statement to present to the Commission regarding the stipulations.

Mr. Irigonegaray said the stipulations set forth explain what happened, and it was important to note that not a single penny of the misreported funds benefited either Mr. Watkins or his client, Mr. Schlingensiepen, and the manner in which these two gentlemen worked was on a voluntary basis. Mr. Irigonegaray said paragraph nine (9) explains the process through which they received the reports for their signature and that Mr. Watkins and his client, Mr. Schlingensiepen, did not have the opportunity to review the totality of the documents but were simply provided by staff and by a firm the documents to be signed. Mr. Irigonegaray said steps have been taken to ensure that this will not happen in the future. Mr. Irigonegaray presented a summary of how the funds have been distributed to charities to account for the funds, which was in addition to their self-reporting. Mr. Irigonegaray said these were not intentional but reporting errors and that his client has put accounting procedures and best practices in place to ensure accuracy in reporting practices. Mr. Irigonegaray requested that a letter of caution be issued to his client.

Hearing Officer Solbach asked if any other Counsel appearing on behalf of the Respondents had any further opening statements to present to the Commission regarding the stipulations.

Hearing Officer Solbach asked if the Commission had any questions. Discussion ensued.

Mr. Turner said the situation with Mr. Watkins is very much the same as what Mr. Irigonegaray conveyed. Mr. Turner asked if the Commission was inclined to impose a fine, requested that the fine be abatable by his client completing community service and/or educational courses on treasurer duties and responsibilities upon completion, so that it doesn't happen in the future.

Hearing Officer Solbach asked if Mr. Skoglund if he had any recommendations.

Mr. Skoglund said given the extreme misreporting of assets during this time period and that it was unprecedented in the nature and amount, he did not have a recommendation. Mr. Skoglund said the Commission can only hold the treasurer accountable and should generally approach the issues on what is required to detour the individual from misreporting in the future and what is required to detour others from misreporting in the future.

Hearing Officer Solbach asked if the Commission had any questions. Discussion continued.

Hearing Officer Solbach asked if the Respondents would like to provide a statement.

Mr. Schlingensiepen provided a verbal statement.

Hearing Officer Solbach asked if the Commission had any questions. Discussion continued.

Mr. Watkins provided a verbal statement.

Hearing Officer Solbach said we have all the facts regarding the cases and don't have any evidentiary hearings. Discussion continued.

Mr. Berry said he had no closing comments.

Counsels appearing on behalf of the Respondents said they did not have any closing comments. Ms. James made a motion to assess Mr. Matt Watkins a total fine in the amount of \$5,000 however if Mr. Watkins disseminates at least ten (10) letters to party or central committee treasurers of record promoting education awareness on the importance of following campaign finance reporting laws and performing twenty-four (24) hours of non-political community service, the payment of the assessed fine shall be waived if completed in the next 90 days, and then send a letter of caution indicating that future violations could result in a civil fine and it is a misdemeanor offense. Ms. Dengler seconded the motion. Discussion ensued. The motion passed unanimously.

Ms. James made a motion to assess Mr. Tobias Schlingensiepen a total fine in the amount of \$1,000 however if Mr. Schlingensiepen disseminates at least ten (10) letters to party or central committee treasurers of record promoting education awareness on the importance of following campaign finance reporting laws and performing eight (8) hours of non-political community service, the payment of the assessed fine shall be waived if completed in the next 90 days, and then send a letter of caution indicating that future violations could result in a civil fine and it is a misdemeanor offense. Mr. Krull seconded the motion. Discussion ensued. The motion passed unanimously.

Complaint 739, George A. Briggs, Treasurer and Candidate for Governor

Complaint 740, Max Stucky Halley, Candidate for State Representative

Complaint 741, Mark Tallent, Treasurer and Candidate for State Representative

Mr. Skoglund requested a continuance for Complaint 739 George A. Briggs, Complaint 740 Max Stucky Halley, and Complaint 741 Mark Tallent hearings. Discussion ensued.

Ms. Dengler made a motion for continuance of the three hearings until the January 25, 2023, regular Commission meeting. Mr. Krull seconded the motion. There was no additional discussion and the motion passed unanimously.

Executive Session

At 2:40p.m., Mr. Krull stated that for good cause pursuant to K.A.R. 19-6-2, I move to recess this open meeting until 2:50p.m. for executive session to discuss matters related to complaints, audits, or investigations, made confidential pursuant to K.S.A. 25-4161 and 25-4165. Justification for executive meeting is to consult with the Commission's attorney, which is within the attorney-client privilege, as provided for in K.S.A. 75-4319(b)(2). Ms. Dengler seconded the motion. Discussion ensued. The motion passed unanimously.

Next meeting date

Acting Chair Solbach announced that the next meeting would be held January 25, 2023.

Acting Chair Solbach asked if there were additional items to discuss, if not he would take a motion to adjourn.

<u>Adjournment</u>

At 2:50p.m. Mr. Krull moved to adjourn the meeting. Ms. Dengler seconded the motion. There was no discussion and the motion passed unanimously.

STATE OF KANSAS

Administration of Campaign Finance, Conflict of Interest & Lobbying Laws



901 S. Kansas Avenue Topeka, Kansas 66612 (785) 296-4219 (phone) (785) 296-2548 (fax)

GOVERNMENTAL ETHICS COMMISSION

https://ethics.kansas.gov

TO: Commission Members

FROM: Mark Skoglund, Executive Director

DATE: January 25, 2023

RE: Candidate and Treasurer Civil Penalties

NameDate FiledDays LateAmountThe following individuals failed to file reports by the due date for penalty free filing.

For candidates required to file with the Secretary of State's office, K.S.A. 25-4152 provides that a certified notice shall be sent, and that the individual shall have <u>2 days from the date of</u> such notice to file the report. After that date, the civil penalty is \$100 for the first day and \$50 per day up to a <u>maximum of \$1000</u>.

For candidates required to file with the County Clerk/County Election Commissioner, K.S.A. 25-4152 provides that a certified notice shall be sent, and that the individual shall have <u>15 days from the date of such notice to</u> file the report. After that date, the civil penalty is \$10 per day up to a <u>maximum of \$300.</u> The following individuals did not file in a timely manner:

October 31, 2022, Receipts & Expenditures Report

Jaelynn Abegg, Candidate for State Representative	1/10/23	+30	\$1,000
George A. Briggs, Treasurer and Candidate For Governor	N/A	+30	\$1,000
Scott Callaway, Candidate For County Commissioner	11/7/22	+3	\$150
Nelson Gabriel, Candidate For State Representative	N/A	+30	\$1,000
Timmy Hood, Treasurer For Nelson Gabriel	N/A	+30	\$300
Heather Kinsler, Candidate For County Commissioner	N/A	+30	\$1,000

Christopher McGowne, Candidate For County Commissioner	N/A	+30	\$1,000
Jordan Metcalf, Candidate For State Representative	N/A	16	\$600
Samantha Raulsten, Treasurer For Jaelynn Abegg	1/10/23	+30	\$300
Marvin Robinson II, Treasurer and Candidate For State Representative	N/A	+30	\$1,000
Max Stucky Halley, Treasurer and Candidate For State Representative	N/A	+30	\$1,000
Mark Tallent, Treasurer and Candidate For State Candidate	N/A	+30	\$1,000
Tab Turner, Treasurer For Heather Kinsler, County Commissioner	N/A	+30	\$300
Joshua White, Candidate For County Commissioner	N/A	+30	\$1,000

Amended July 25, 2022, Receipts & Expenditures Report

Christopher McGowne, Candidate For County Commissioner	N/A	+30	\$300
Daniel Stillwell, Treasurer For Sam Stillwell	N/A	+30	\$300
Sam Stillwell, Candidate For State Representative	N/A	+30	\$300

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GOVERNMENTAL ETHICS COMMISSION

https://ethics.kansas.gov

TO: Commission Members

FROM: Mark Skoglund, Executive Director

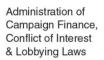
DATE: January 25, 2023

RE: Party Committees and Political Action Committees (PAC) Civil Penalties

The following individuals failed to file the required reports by the due date for penalty free filing. K.S.A. 25-4152 provides that a certified notice shall be sent and that the individual shall have a number of days from the date such notice is placed in the mail to file the report. Central Committees (CC) & PACs which file 15 days from the date of the notice are imposed a civil penalty of \$10 per day the report remains unfiled, up to a maximum of \$300. The following individuals did not file paperwork in a timely manner:

Name	Date Filed	Days Late	Amount
October 31, 2022 Receipts & Expenditures Report	t		
Bernice Albers, Treasurer Chase County Republican Central Committee	1/3/23	+30	\$300
Bobbi Jo Boxum, Treasurer Osborne County Republican Central Committee	11/30/22	11	\$110
Stephen Cook, Treasurer Elk County Republican Central Committee	12/16/22	+30	\$300
Saul Delgado Anaya, Treasurer Seward County Democratic Central Committee	1/10/23	+30	\$300
Holly Denton, Treasurer Morton County Democratic Central Committee	11/23/22	4	\$40
Mary Ann Dvoracheck, Treasurer Allen County Democratic Central Committee	11/21/22	2	\$20
Kenneth Estes, Treasurer Kearny County Republican Central Committee	11/28/22	9	\$90

Haley Helzer, Treasurer Saline County Democratic Central Committee	11/21/22	2	\$20
Kim Hullman, Treasurer Stafford County Republican Central Committee	11/28/22	9	\$90
Max Knopp, Treasurer Integrity in Kansas House	N/A	+30	\$1,000
Jerry Lucas, Treasurer Kansas 4 th Congressional District Democratic Party	11/28/22 Committee	10	\$100
Justin Shore, Treasurer For the Progressive Caucus of the Kansas Democra	1/3/23 tic Party	30	\$300
Thomas Witt, Treasurer For the Equality Kansas PAC	N/A	30	\$300
Amended July 25, 2022, and October 31, 2022, Re	eceipts & Expenditure	s Reports	
Hana North, Treasurer Saline County Young Democrats	1/18/23	+30	\$300
Amended January 10, 2022, Receipts & Expenditu	ires Report		
Sarah Chenoweth, Treasurer Progressive Democrats of the 13 th Senate District	N/A	+30	\$300
2022, PAC Registration			
HJ Swender, Treasurer For the Right Way Kansas PAC for Economic Grow	N/A th	+30	\$300
Tyler Ternes, Treasurer For the Kansas Radiological Society Political Actior	12/9/22 n Committee	16	\$160
2018 through 2022, PAC Registration			
Karla Lisle, Chair and Treasurer Kansans for Conservative Values	N/A	+30	\$300







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GOVERNMENTAL ETHICS COMMISSION

https://ethics.kansas.gov

TO: Commission Members

FROM: Mark Skoglund, Executive Director

DATE: January 25, 2023

RE: Lobbyist Civil Penalties

The following individuals failed to file the required report by the due date for penalty free filing. K.S.A. 46-280 provides that a certified notice shall be sent to a lobbyist failing to file any required report, and that the lobbyist shall have 2 days from the day the notice was sent to file the report. After that date, the civil penalty is \$100 the first day and \$50 for each subsequent day up to a maximum of \$1000. The following individuals did not file in a timely manner:

Name	Date Filed	Days Late	Amount
January 10, 2023, Lobbyist Employment & Exper	nditures Report		
Ernestor De La Rosa,			
City of Dodge City	1/19/23	5	\$300
Dan Meyerhoff, Kansas Association of Conservation	1/19/23	5	\$300
Bridgette Williams, Heavy Constructors Association of KC	1/19/23	5	\$300

Montgomery, Barbara [KGEC]

From:	Callie Denton <cdenton@ktla.org></cdenton@ktla.org>
Sent:	Wednesday, January 4, 2023 3:59 PM
То:	Skoglund, Mark [KGEC]; KGEC_Ethics
Сс:	mike@kapkewillerth.com
Subject:	Letter from KTLA CJ PAC re request for waiver
Attachments:	Letter to Mark Skoglund and KGEC re KTLACJPAC 1423.pdf

EXTERNAL: This email originated from outside of the organization. Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Hi Mark,

Attached is KTLA CJ PAC's letter requesting a waiver from the Commission re the express advocacy Facebook ads. Please let me know if you have any additional questions or if there is any more that I need to provide. I appreciate your assistance.

Callie



KANSAS TRIAL LAWYERS ASSOCIATION

CALLIE JILL DENTON JD Executive Director

Historic Fire Station No. 2 719 SW Van Buren Ste. 222 Topeka, Kansas 66603 (785) 232-7756 (office) (785) 230-5272 (cell) (785) 232-7730 (fax) cdenton@ktla.org www.ktla.org



January 4, 2023

Mr. Mark Skoglund Kansas Governmental Ethics Commission 901 S Kansas Avenue Topeka, Kansas 66612

VIA EMAIL KGEC_Ethics@ks.gov, Mark.Skoglund@ks.gov

Dear Mr. Skoglund and Commissioners,

I am writing regarding Mr. Skoglund's email of November 4, 2022. Mr. Skoglund has advised me of violations contained in certain Facebook ads placed by the KTLA Consumer/Civil Justice Political Action Committee (KTLA CJPAC) during the 2022 General Election. The violations were the result of an error in interpreting and implementing statutory requirements for express advocacy communications. On behalf of the Executive Committee of KTLA CJ PAC, I respectfully request a waiver from the Governmental Ethics Commission in lieu of any other action or penalty for the violations. KTLA has not requested or received any prior waivers from the Commission.

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KTLA CJPAC's Facebook ads contained express advocacy communications as outlined in K.S.A. 25-4143(h) of the Kansas Campaign Finance Act. Express advocacy ads require a "Paid for" attribution as well as the listing of the chair or treasurer of the PAC.

KTLA CJPAC relied on the Facebook ad structure to comply with the statutory requirements for express advocacy communications. The ads included the name of the PAC and "Sponsored." In addition, the ads referred viewers to a separate landing page which contained the full attribution including the name of the PAC treasurer. We believed that by including the full attribution in a separate landing page, with the link to the page included in the body of the Facebook ad, that KTLA CJPAC fully complied with the Act and the intent to promote transparency and disclosure to the public.

As Mr. Skoglund has advised me, KTLA CJPAC's ads violated the Act. The Facebook attribution is insufficient because the built-in disclaimer does not have fields for chair/treasurer, and express advocacy communications must contain the name of the chair or treasurer under Kansas' law.

KTLA CJ PAC's ads could have been compliant with the Act had the "paid-for" disclaimer including the name of the chair/treasurer been in the actual text of the ad. But referring viewers to a separate landing page, which is what KTLA CJPAC did, does not meet the attribution requirements in the Act for express advocacy communications.

KTLA CJ PAC understands the correct interpretation and application of the Act to express advocacy communications. We are also clear on the errors in interpretation that led to the violations, so we can avoid the same mistake in the future. Thank you for the opportunity to explain our error and how it occurred, and to request a waiver in lieu of other penalty or action for violations of the Act. I appreciate the Commission's favorable consideration of my request.

Sincerely,

Callie J Denton

Callie Jill Denton Executive Director Treasurer, KTLA Consumer/Civil Justice PAC

CC: Michael J. Fleming, Chair, KTLA Consumer/Civil Justice PAC

Montgomery, Barbara [KGEC]

From:	Tai J. Vokins <tvokins@sloanlawfirm.com></tvokins@sloanlawfirm.com>
Sent:	Tuesday, January 24, 2023 10:29 AM
То:	Montgomery, Barbara [KGEC]; Skoglund, Mark [KGEC]
Subject:	Chris Mann for Kansas - Attorney General Campaign Waiver Request

EXTERNAL: This email originated from outside of the organization. Do not click any links or open any attachments unless you trust the sender and know the content is safe.

To the members of the Kansas Governmental Ethics Commission,

I am the treasurer for the Chris Mann for Kansas campaign. I am writing on behalf of the campaign to request that Chris Mann for Kansas receive a waiver for the campaign's failure to include a "paid for" by disclaimer on text messages sent from our campaign last year. This is a matter we take seriously and addressed immediately when it was brought to our attention.

Though it was not our most common mode of communication, our campaign began sending text messages to supporters in December of 2021. As we did with all our outgoing communications, we consulted campaign professionals, our texting vendor, and studied the practices of other Kansas campaigns to determine how to best proceed. At that time, our campaign staff were unaware of the requirement to include a paid for by disclaimer on outgoing text messages. Our team remained unaware until the requirement was brought to our attention last fall by Mr. Scott Smith in an email we received on November 2nd.

Fortunately, we did not send many text messages to supporters until the final few weeks before the campaign. When we learned that we had sent text messages without including the appropriate "paid for" disclaimer, we ceased sending all text messages immediately. We directed our entire campaign team – including staff and independent consultants – to include the appropriate disclosures on all future text messages. I immediately emailed Mr. Smith and informed him that we had ceased texting activity and corrected the error. We reviewed the text of outgoing text messages to guarantee the proper disclosures were included, and only then did we resume sending text message communication to our supporters.

This will certainly not happen again in the future. We are grateful for the opportunity to address this situation and to request a waiver from the commission. If you have any questions, feel free to contact me. My cell phone number is (785) 218-5320. You can also email me at this email address.

Sincerely,

Tai Vokins

Treasurer, Chris Mann for Kansas

--

Tai "Ty" J. Vokins Sloan, Eisenbarth, Glassman, McEntire & Jarboe L.L.C. 831 Massachusetts, Suite B PO Box 766 Lawrence, KS 66044 Phone: 785-842-6311 Ext.306 Fax: 785-842-6312 E-mail: <u>tvokins@sloanlawfirm.com</u>

PRIVILEGED & CONFIDENTIAL

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error, please notify by returned electronic communication and then completely delete this item from your system(s). If you are the intended recipient, but do not wish to receive communications through this medium, please so advise the sender immediately. Thank you for your cooperation.

To ensure compliance with requirements imposed by the IRS, we inform you that any U.S. federal tax advice contained in this document (including any attachments) is not intended or written to be used, and cannot be used, for the purpose of (i) avoiding penalties under the Internal Revenue Code or (ii) promoting, marketing or recommending to another party any transaction or matter addressed herein.

Montgomery, Barbara [KGEC]

From:	justin choitz <hfd_bfj@yahoo.com></hfd_bfj@yahoo.com>
Sent:	Tuesday, October 25, 2022 11:01 PM
To:	Montgomery, Barbara [KGEC]; KGEC_Ethics
Subject:	FirePAC 2119 request for waiver
Attachments:	FirePAC 2119 waiver request.docx; KS Gov Ethics FirePAC 2119.pdf
Follow Up Flag:	Follow up
Flag Status:	Completed

EXTERNAL: This email originated from outside of the organization. Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Attached is my letter requesting waiver review and the canceled check for filing fees.

Thank you for your assistance in this matter.

Justin Choitz Treasurer FirePAC 2119 785-635-5193 Kansas Governmental Ethics Commission 901 S. Kansas Ave. Topeka, Kansas 66612 Tel. 785-296-4212

Re: Waiver of civil penalty

FirePAC 2119

2502 Indian Trail

Hays, Kansas 67601

Justin Choitz Treasure

10/22/22

To Whom it May Concern,

I am writing to request the Failure to File Penalty be reviewed for waiver of \$300.00 penalty. I was made aware of the initial late filing in July 2022, I called the Gov. Ethics Commission on 7/11/2022 and was advised of notices sent.

I am the sole member of our Locals FirePAC, managing this obligation at times becomes a challenge with delegating my time between my full time fire department job, and two other part time jobs. The mailings occasionally become incorporated with other items of mail not immediately recognized as having the priority it deserves, and I understand that falls on my shoulders.

I have attached to this email the cancelled check for filing fees dated 8/1/2022.

Please accept my request for waiver review. Currently, I do not know the time and dates for addressing the board in person. If this would be required or requested, I would like to have that opportunity if my written request is not sufficient.

Respectfully, Justin Choitz FirePAC 2119

Quintana, Payge [KGEC]

From:	Kenneth Estes <esteslawgroup@gmail.com></esteslawgroup@gmail.com>
Sent:	Monday, November 28, 2022 3:52 PM
То:	Quintana, Payge [KGEC]
Subject:	Fwd:
Attachments:	doc00969820221128164211.pdf

EXTERNAL: This email originated from outside of the organization. Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Thank you Payge for all of your help as it relates to getting this report filed. The proper report should be attached to this email.

I hereby resign as treasurer of the Kearny County Republican Central Committee, effective immediately.

Dear Ethics Commission,

I respectfully request a waiver of the fee that is assessed against me for late filing.

I have changed professions and I am now working 12 hour shifts at night and my wife works during the hours that our post office is open. We do check our mail at the post office on a regular basis but it is at hours when the post office is closed.

We live in a small town that does not deliver to residences and we are required to have a PO Box for our mail.

I was able to first sign for your letter on Friday of the holiday weekend and I was not able to reach anyone that day.

I hope that you can grant me some grace in this situation and waive the fee.

Your obedient servant,

Kenny Estes

------ Forwarded message ------From: <<u>scan@kearnycolib.info</u>> Date: Mon, Nov 28, 2022 at 3:42 PM Subject: To: <<u>esteslawgroup@gmail.com</u>>

CS 2553ci [00:17:c8:b0:81:f7]

Quintana, Payge [KGEC]

From:Haley H <hhelzer81@gmail.com>Sent:Monday, November 21, 2022 2:48 PMTo:Quintana, Payge [KGEC]Subject:Re: Expense Report

EXTERNAL: This email originated from outside of the organization. Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Payge,

Thank you. I request a waiver of the penalties due to illness and unable to access documents while in hospital.

Thank you, Haley Helzer

On Mon, Nov 21, 2022 at 2:06 PM Quintana, Payge [KGEC] <<u>Payge.Quintana@ks.gov</u>> wrote:

Haley,

I received the report. Please keep my email. Despite illness and technological errors, I still have to assign late fees since the deadline was Saturday. However, you can submit a waiver request that will go before the Ethics Commission at the next meeting in January. The email you sent covers all the essential information. The only thing I would suggest adding is "I request a waiver of the penalties due to…". You can email it to me, also. You will receive a letter after the meeting on January 25 informing you of the status of your waiver. Please understand that this is standard operating procedure.

Don't hesitate to reach out with any questions. You can use my email or my direct line is listed in my signature below.

Best,

Payge Quintana-Padgett

PAC and Party Coordinator

Payge.Quintana@ks.gov

(785) 296-4233

From: Haley H <<u>hhelzer81@gmail.com</u>> Sent: Monday, November 21, 2022 2:00 PM To: Quintana, Payge [KGEC] <<u>Payge.Quintana@ks.gov</u>> Subject: Expense Report

EXTERNAL: This email originated from outside of the organization. Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Payge,

I apologize your office didn't get this sooner. As I said I faxed it on 10/28/22, and never received an error. When I received the notice in the mail, I was very ill. I just returned to the office today, where I store those documents. Hopefully, this will close this matter.

I know I mentioned a second report. I forgot I had started the report that's not due until January, but clearly, you don't need that yet. So that was stuck in my head because I always start those reports and just keep adding to them as the time goes on. I'll keep your email address for future reference and just email those to you so I don't have to worry about my fax machine.

Let me know if you need anything else from us.

Thank you,

Haley Helzer

Kansas Governmental Ethics Commission 901 Kansas Ave. Topeka, KS 66612

To Whom It May Concern -

January 18, 2023

Hello, my name is Hana North, and I am/was the treasurer for the Saline County Young Democrats (SCYD) PAC. I am writing to you about our situation regarding our 2021-2022 year and filing reports, termination, and the penalties that we would incur.

We began our PAC in the summer of 2021, and we had our first meeting as a leadership board in June. I was approached to be the group's secretary, but due to the lack of an initial treasurer and my own choice—I took on the role. I was the last person to join our leadership board, so there were some events and decisions made shortly before my arrival.

Throughout the year, it became clear that our circumstances affected our ability to be successful. We thought we would be able to create a larger presence and build a community within the span of June-August. However, at the time, majority of the leadership were returning to or even starting college out of town. The only one who wasn't immediately returning to higher education or a job out of town was our president. As the months progressed, we discovered our leader was highly unorganized, and quite frankly, unfit for the job.

Our president took on much of the work at the beginning, as we were under the impression that he was well-versed in running political groups—particularly with youth and young adults. He told us of his work in Emporia and his travelling around with Democratic candidates. We had no reason to doubt him; we saw the photos and we knew he was in school at ESU at some point.

Then came the time to officially register and get ourselves started, which happened shortly after our first official meeting in June. Unfortunately, this is where things started to get out of order. I do not think he was intending to make things difficult, but it became apparent that he was not being transparent with us. Documents were done incorrectly. There was a time where we did not see or touch any paperwork and we were uncertain if it would ever get to the Ethics office. The vice president and I had to intervene and confront him. Eventually, the matter was sorted. However, we knew from then on that running the PAC effectively would be a challenge. Especially when we discovered that the president had been collecting funds before any paperwork was done. During our time together we were mentored by the Saline County Democrats, who regularly checked up on our work and made sure we were doing things to code. Evidently, we were not. Most of our board was new to running official political committees. Alas, we had blindly followed our president. Believing that he was aware of what he was doing.

We began to realize we simply could not trust our president. He lied about his work at Emporia, and how much experience he had. He made decisions without us knowing. He started our PayPal before the group officially started and accepted donations. But because we had no official paperwork, it promptly was shut down by the company. We almost lost access to funds.

So, we decided to terminate the beginning of August. Luckily, he understood that rest of us were leaving for college and there was no one else to help run SCYD. We dissolved. As treasurer, I knew I had to wait

until certain deadlines arose, so I held onto documents and met with the rest of the old leadership to make sure things were at least, finished correctly.

This is where I apologize because there was incorrect filing, and I thought we were done. I also moved out of town, so any notices I received were by mail and thus took a lot longer to reach me. After gathering needed documents and corresponding with Payge Quintana-Padgett, I was able to amend my filing errors. I had to track down information from past conversations and old accounts with my old leadership board which took a while, given busy schedules as well as lack of organization from the president. I have filed a final termination report as well.

I hope this letter alleviates our current situation and supports my request to the commission to waive my civil penalties. I take full responsibility for our misguided efforts and poor management as treasurer, but I hope we can garner sympathy.

Thank you for working with us and being patient while we've been handling these circumstances. Please let me know if there's anything I can do, and what your decision is. I would prefer by email which I have listed below, but I also have attached my current address.

Best regards,

Hana North Past Treasurer for the Saline County Young Democrats

Contact: 1722 W 24th St, Apt. B08 Lawrence, KS 66046 hanarose.north@gmail.com (785) 309-6486

Montgomery, Barbara [KGEC]

From:		
Sent:		
То:		
Subject:		

Mark Tallent <marktfor3@gmail.com> Friday, January 20, 2023 2:40 PM KGEC_Ethics Civil Penalties

EXTERNAL: This email originated from outside of the organization. Do not click any links or open any attachments unless you trust the sender and know the content is safe.

To whom it may concern,

I, Mark Tallent, would like to ask for the potential civil penalties to be waived on account of my total ignorance. I was not aware I needed to file any campaign reports if I received no funds. I sincerely apologize for my ignorance. I ask that I am not punished for trying to be more civically engaged. I have as of now filed the needed reports saying that I received now funds other than when I paid for my filing fee. Thank you for your time.

Sincerely,

Mark Tallent

PLUMBERS & PIPEFITTERS LOCAL UNION #441 POLITICAL ACTION COMMITTEE 1330 East 1st Street North Wichita, Ks 67214

December 8, 2022

RECEIVED

DEC 12 2022

KS Governmental Ethics Commission

Mark Skoglund, Executive Director State of Kansas Governmental Ethics Commission 901 S Kansas Ave Topeka, Ks 66612

Dear Mr. Skoglund:

This letter is being written with the intention of requesting a waiver for the civil penalty of \$150.00 assessed on November 16, 2022. A letter was sent to Steve Watson, Treasurer, with the Plumbers & Pipefitters Local 441 PAC on November 18, 2022, notifying us of this action taken by the Commission.

We are not requesting to be present to address the Commission in person but would like to provide this letter along with supporting documentation for the Commission to review in consideration of our request for waiver of the civil penalty.

During the last reporting period due October 31, 2022, the report for the Plumbers and Pipefitters Local 441 PAC was received by the Secretary of State's - Kansas Governmental Ethics Commission office on November 7th, 2022. However, I would like to explain the issues leading up to that report being received late.

On October 31st, 2022, at 8:55am, the full and complete report was emailed by our staff to Kaitlin Hubbell at <u>Kaitlin.Hubbell@ks.gov</u>. This is the email that our staff has been emailing reports to this year. Shortly after, an undeliverable message was received from the <u>postmaster@SOKansas.onmicrosoft.com</u> stating Kaitlin Hubbell was not found at ks.gov. With that email notification, our staff reviewed prior emails and found they had previously sent the report to the Kansas Ethics Commission email -<u>election@ks.gov</u>. (Our staff previously used this email when reporting the October 26th, 2020, contributions, and a response was received from a Bryan Caskey that same day regarding that specific report and therefore our staff thought this was a valid email based on the prior filing.) Our staff sent the current report to that email at 8:58am on 10/31/2022.

A certified letter dated November 3, 2022, regarding the report being late was received in our offices on Monday, November 7, 2022. The letter stated that we had until November 5, 2022, to file the report. However, USPS did not deliver the letter to us until November 7, 2022. Immediately upon receiving this letter staff called and spoke to the ethics commission office staff to verify where the report should be emailed to be in compliance. The email provided was <u>kgec_ethics@ks.gov</u> and staff forwarded the original email with report to that email at 9:37 a.m. and Barbara Montgomery, Ethics Commission's Office Manager acknowledge receipt at 2:28 p.m.

We appreciate the Commission taking time to consider these facts regarding our filing of reports in a timely manner.

Sincerely,

Steven E. Watson Treasurer

Brian R. Burnett Chairperson

From: Sent: To: Cc: Subject: Attachments: Megan Gardner Monday, October 31, 2022 8:55 AM Hubbell, Kaitlin [KGEC] Angela Hermann Plumbers & Pipefitters 441 Oct 31 PAC Report 20221028134933856.pdf

Importance:

High

11 1

Hi Kaitlin,

Please find attached the PAC report for 10/31/2022. Please let me know if you need anything additional!

1

Thanks!

--

Megan R. Gardner, Office Assistant Plumbers & Pipefitters Local Union #441 1330 E 1st St, Ste 115 Wichita Ks 67214-4000 (316) 265-4291 - Phone (316) 265-5731 - Fax mgardner@ua441.org

From: To: Sent: Subject: postmaster@SOKansas.onmicrosoft.com Hubbell, Kaitlin [KGEC] Monday, October 31, 2022 8:56 AM Undeliverable: Plumbers & Pipefitters 441 Oct 31 PAC Report

X

Your message to Kaitlin.Hubbell@ks.gov couldn't be delivered.

Kaitlin.Hubbell wasn't found at ks.gov.

MGardner	Office 365	Kaitlin.Hubbell
Action Required		Recipient
<u> </u>		

Unknown To address

How to Fix It

The address may be misspelled or may not exist. Try one or more of the following:

- Send the message again following these steps: In Outlook, open this non-delivery report (NDR) and choose Send Again from the Report ribbon. In Outlook on the web, select this NDR, then select the link "To send this message again, click here." Then delete and retype the entire recipient address. If prompted with an Auto-Complete List suggestion don't select it. After typing the complete address, click Send.
- Contact the recipient (by phone, for example) to check that the address exists and is correct.
- The recipient may have set up email forwarding to an incorrect address. Ask them to check that any forwarding they've set up is working correctly.
- Clear the recipient Auto-Complete List in Outlook or Outlook on the web by following the steps in this article: <u>Fix email</u> <u>delivery issues for error code 5.1.10 in Office 365</u>, and then send the message again. Retype the entire recipient address before selecting **Send**.

If the problem continues, forward this message to your email admin. If you're an email admin, refer to the **More Info for Email Admins** section below.

Was this helpful? Send feedback to Microsoft.

More Info for Email Admins

Status code: 550 5.1.10

This error occurs because the sender sent a message to an email address hosted by Office 365 but the address is incorrect or doesn't exist at the destination domain. The error is reported by the recipient domain's email server, but most often it must be fixed by the person who sent the message. If the steps in the **How to Fix It** section above don't fix the problem, and you're the email admin for the recipient, try one or more of the following:

The email address exists and is correct - Confirm that the recipient address exists, is correct, and is accepting messages.

Synchronize your directories - If you have a hybrid environment and are using directory synchronization make sure the recipient's email address is synced correctly in both Office 365 and in your on-premises directory.

Errant forwarding rule - Check for forwarding rules that aren't behaving as expected. Forwarding can be set up by an admin via mail flow rules or mailbox forwarding address settings, or by the recipient via the Inbox Rules feature.

Recipient has a valid license - Make sure the recipient has an Office 365 license assigned to them. The recipient's email admin can use the Office 365 admin center to assign a license (Users > Active Users > select the recipient > Assigned License > Edit).

Mail flow settings and MX records are not correct - Misconfigured mail flow or MX record settings can cause this error. Check your Office 365 mail flow settings to make sure your domain and any mail flow connectors are set up correctly. Also, work with your domain registrar to make sure the MX records for your domain are configured correctly.

For more information and additional tips to fix this issue, see <u>Fix email delivery issues for</u> error code 5.1.10 in Office 365.

Original Message Details

Created Date:	10/31/2022 1:55:26 PM
Sender Address:	MGardner@ua441.org
Recipient Address:	Kaitlin.Hubbell@ks.gov
Subject:	Plumbers & Pipefitters 441 Oct 31 PAC Report

Error Details

Reported error:	550 5.1.10 RESOLVER.ADR.RecipientNotFound; Recipient
•	Kaitlin.Hubbell@ks.gov not found by SMTP address lookup
DSN generated by:	SJ0PR09MB6527.namprd09.prod.outlook.com

d ()

Message Hops

HOP TIME (UTC) FROM

TO

WITH

From: Sent:

To: Cc:

Subject:

Attachments:

Megan Gardner Monday, October 31, 2022 8:58 AM 'election@ks.gov' Angela Hermann Plumbers & Pipefitters 441 Oct 31 PAC Report 20221028134933856.pdf

Importance:

High

Good Morning,

Please find attached the PAC report for 10/31/2022. Please let me know if you need anything additional!

Thanks!

Megan R. Gardner, Office Assistant Plumbers & Pipefitters Local Union #441 1330 E 1st St, Ste 115 Wichita Ks 67214-4000 (316) 265-4291 - Phone (316) 265-5731 - Fax mgardner@ua441.org

From: Sent: To: Subject: WEB Election [KSOS] <Election@ks.gov> Monday, October 26, 2020 6:18 PM Megan Gardner; WEB Election [KSOS] RE: October 26 2020 Receipts and Expenditures Report

Dear Ms. Gardner:

I am confirming receipt of your October 26th, 2020, campaign finance report.

If you have questions or concerns, please contact me.

May your day be blessed!

BRYAN A. CASKEY | Director of Elections Kansas Secretary of State | 785-296-3488 P | 785-581-3623 C | 785-291-3051 F | Memorial Hall, 1st Floor | 120 S.W. 10th Avenue | Topeka, KS 66612-1594

"Every election is determined by the people who show up."

Larry J. Sabato, <u>Pendulum Swing</u>

From: Megan Gardner <MGardner@ua441.org> Sent: Monday, October 26, 2020 5:23 PM To: WEB Election [KSOS] <Election@ks.gov> Subject: October 26 2020 Receipts and Expenditures Report

EXTERNAL: This email originated from outside of the organization. Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Please see attached. Let me know if you need anything else!

Thank you!

Megan R. Gardner, Office Assistant Plumbers & Pipefitters Local Union #441 1330 E 1st St, Ste 115 Wichita Ks 67214-4000 (316) 265-4291 - Phone (316) 265-5731 - Fax mgardner@ua441.org

From:	Angela Hermann
Sent:	Monday, November 7, 2022 9:37 AM
To:	Payge Quintana-Padgett (kgec_ethics@ks.gov)
Cc:	Megan Gardner
Subject:	FW: Plumbers & Pipefitters 441 Oct 31 PAC Report
Attachments:	20221028134933856.pdf

Importance:

High

Hi Payge, Please see the attached. If you have any questions or need any additional info please contact either Megan Gardner or myself. Thank you! Angie 👔

Angela W. Hermann, Office Manager Plumbers & Pipefitters Local Union #441 1330 E 1st St, Ste 115 Wichita Ks 67214-4000 (316) 265-4291 - Phone (316) 265-5731 - Fax ahermann@ua441.org

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From: Megan Gardner <MGardner@ua441.org>
Sent: Monday, October 31, 2022 8:58 AM
To: election@ks.gov
Cc: Angela Hermann <AHermann@ua441.org>
Subject: Plumbers & Pipefitters 441 Oct 31 PAC Report
Importance: High

Good Morning,

Please find attached the PAC report for 10/31/2022. Please let me know if you need anything additional!

Thanks!

Megan R. Gardner, Office Assistant Plumbers & Pipefitters Local Union #441 1330 E 1st St, Ste 115 Wichita Ks 67214-4000 (316) 265-4291 - Phone (316) 265-5731 - Fax mgardner@ua441.org

11

From: Sent: To: Cc: Subject: KGEC_Ethics <KGEC_Ethics@ks.gov> Monday, November 7, 2022 2:28 PM Angela Hermann Megan Gardner RE: Plumbers & Pipefitters 441 Oct 31 PAC Report

Thank you for your email.

I have forwarded the below email to the applicable staff member that processes this information and can follow up with you if there are any questions.

Barbara Montgomery Office Manager Kansas Governmental Ethics Commission Barbara.Montgomery@ks.gov (785)296-4219

From: Angela Hermann <AHermann@ua441.org>
Sent: Monday, November 7, 2022 9:37 AM
To: KGEC_Ethics <KGEC_Ethics@ks.gov>
Cc: Megan Gardner <MGardner@ua441.org>
Subject: FW: Plumbers & Pipefitters 441 Oct 31 PAC Report
Importance: High

EXTERNAL: This email originated from outside of the organization. Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Hi Payge, Please see the attached. If you have any questions or need any additional info please contact either Megan Gardner or myself. Thank you! Angie 👔

Angela W. Hermann, Office Manager Plumbers & Pipefitters Local Union #441 1330 E 1st St, Ste 115 Wichita Ks 67214-4000 (316) 265-4291 - Phone (316) 265-5731 - Fax ahermann@ua441.org

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From: Megan Gardner <<u>MGardner@ua441.org</u>> Sent: Monday, October 31, 2022 8:58 AM To: election@ks.gov Cc: Angela Hermann <<u>AHermann@ua441.org</u>> Subject: Plumbers & Pipefitters 441 Oct 31 PAC Report Importance: High

Good Morning,

Please find attached the PAC report for 10/31/2022. Please let me know if you need anything additional!

Thanks!

Megan R. Gardner, Office Assistant Plumbers & Pipefitters Local Union #441 1330 E 1st St, Ste 115 Wichita Ks 67214-4000 (316) 265-4291 - Phone (316) 265-5731 - Fax <u>mgardner@ua441.org</u>

	•
	GOVERNMENTAL ETHICS
	COMMISSION
	COMPLAINT
-	(Commission Use Only) Mark Skoglund) Complainant) Complaint No. 739 George A Briggs) Respondent)
4.	Complainant or attorney:
	Name: Mark Skoglund, Executive DirectorAddress: 901 S Kansas AvenuePhone: 785-296-4219City and State: Topeka, KSZip Code: 66612
3.	State the name of the person alleged to have violated the Act in question:
	Name: George A Briggs, 2022 Treasurer & Candidate for Governor Address: 24969 SW 130 Road City, State, Zip Code: Kincaid, KS 66039
Γ.	Complaint alleges:
Notation No.	George A Briggs, 2022 Treasurer & Candidate for Governor failed to file the July 25, 2022 Receipts and Expenditures Report as required by K.S.A. 25-4148, and due in response to a notice sent by certified mail on July 27, 2022 and first class mail on August 17, 2022, pursuant to K.S.A. 25-4152. K.S.A. 25-4167 provides that the intentional violation of K.S.A. 25-4148 is a class A misdemeanor. K.S.A. 25-4181 provides that, in addition to any other penalty prescribed under the Campaign Finance Act, the Commission may assess a civil fine not to exceed \$5,000 for the first violation, \$10,000 for the second violation, and \$15,000 for the third violation and for each subsequent violation.
).	Verification: State of Kansas County of Shawnee)
	I, <u>Mark Skoglund</u> , do swear (or affirm) that this complaint has been examined by me and to the best of my knowledge, information and belief is true, correct and complete. (Signature of Complainant)
	Subscribed and sworn to (affirmed) before me this $\frac{24^{th}}{24^{th}}$ day of $\frac{449}{1184}$, 2022.
	Karīna K. Renna (Notary Public) Notary Public State of Kansas My appointment expires July 29, 3024 My Appl Expires July 29, 3024
	GEC Form Rev. 1998

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	GOVERNMENTAL ETHICS COMMISSION
	FIRST AMENDED COMPLAINT
	(Commission Use Only)
	Mark Skoglund) Complainant) Complaint No, 740
	Max Stucky Halley) Respondent
	Complainant or attorney:
	Name: Mark Skoglund, Executive Director Address: 901 S Kansas Avenue Phone: 785-296-4219 City and State: Topeka, KS Zip Code: 66612
•	State the name of the person alleged to have violated the Act in question:
	Name: Max Stucky Halley, 2022 Treasurer & Candidate for State Representative Address: 5651 SW Lincolnshire Circle City, State, Zip Code: Topeka, KS 66610
	Complaint alleges:
	 Max Stucky Halley, 2022 Treasurer & Candidate for State Representative failed to file the July 25, 2022 Receipts and Expenditures Report as required by K.S.A. 25-4148, and due in response to a notice sent by certified mail on July 27, 2022 and first class mail on August 8, 2022, pursuant to K.S.A. 25-4152. K.S.A. 25-4167 provides that the intentional violation of K.S.A. 25- 4148 is a class A misdemeanor. K.S.A. 25-4181 provides that, in addition to any other penalty prescribed under the Campaign Finance Act, the Commission may assess a civil fine not to exceed \$5,000 for the first violation, \$10,000 for the second violation, and \$15,000 for the third violation and for each subsequent violation.
	2. Max Stucky Halley, 2022 Candidate for State Representative, failed to file a Statement of Substantial Interests due June 20, 2022, as required by K.S.A. 46-247(a) and K.S.A. 46-248(a)(3). K.S.A. 46-288 provides that, in addition to any other penalty prescribed under the State Governmental Ethics Laws, the Commission may assess a civil fine not to exceed \$5,000 for the first violation, \$10,000 for the second violation, and \$15,000 for the third violation and for each subsequent violation. K.S.A. 46-251 provides that the failure to file a required Statement of Substantial Interests is a class B misdemeanor.
•	Verification: State of Kansas) County of Shawnee)
	I, <u>Mark Skoglund</u> , do swear (or affirm) that this complaint has been examined by me and to the best of my knowledge, information and belief is true, correct and

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Subscribed and sworn to (affirmed) before me this <u>Standary of September</u>, 2022. Karīna K. Renna Notary Public (Notary Public) State of Kansas Missent Expires 944-29 2024 , 2024 My appointment expires GEC Form Rev. 1998

GOVERNMENTAL ETHICS COMMISSION COMPLAINT (Commission Use Only) Mark Skoglund Complainant Complaint No. Mark Tallent Respondent Complainant or attorney: A. Name: Mark Skoglund, Executive Director Address: 901 S Kansas Avenue City and State: Topeka, KS Phone: 785-296-4219 Zip Code: 66612 B. State the name of the person alleged to have violated the Act in question: Name: Mark Tallent, 2022 Treasurer & Candidate for State Representative Address: PO Box 11171, 4225 W 107th City, State, Zip Code: Overland Park, KS 66207 Complaint alleges: Mark Tallent, 2022 Treasurer & Candidate for State Representative failed to file the July 25, 2022 Receipts and Expenditures Report as required by K.S.A. 25-4148, and due in response to a notice sent by certified mail on July 27, 2022 and first class mail on August 17, 2022, pursuant to K.S.A. 25-4152. K.S.A. 25-4167 provides that the intentional violation of K.S.A. 25-4148 is a class A misdemeanor. K.S.A. 25-4181 provides that, in addition to any other penalty prescribed under the Campaign Finance Act, the Commission may assess a civil fine not to exceed \$5,000 for the first violation, \$10,000 for the second violation, and \$15,000 for the third violation and for each subsequent violation. (Signature of Complainant) D. Verification: State of Kansas County of Shawnee) I, <u>Mark Skoglund</u>, do swear (or affirm) that this complaint has been examined by me and to the best of my knowledge, information and belief is true, correct and complete. (Signature of Complainant) Subscribed and sworn to (affirmed) before me this 24° day of 2022. Karīna K. Renna Notary Public) Notary Public My appointment expires 2024 State of Kansas My Appl Expires Chily 29, 200 GEC Form Rev. 1998

Attachment to Commission Meeting Minutes January 25, 2023 Motion for Executive Session (Statutory confidential matters review)

For good cause pursuant to K.A.R. 19-6-2, I move to recess this open meeting until ______ p.m. for executive session, with staff present, to discuss matters related to complaints, audits, or investigations, made confidential pursuant to K.S.A. 25-4161 and 25-4165. Justification for executive meeting is to consult with the commission's attorney, which is within the attorney-client privilege, as provided for in K.S.A. 75-4319(b)(2).